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Application Number	00/726 053

155615-0018 (P009)

TRANSMITTAL Filing Date November 29, 2000 First Named Inventor **FORM** Ricardo Guimaraes Art Unit 3743 **Examiner Name** Fadi H. Dahbour (to be used for all correspondence after initial filing) Attorney Docket Number

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Ricardo Guimaraes

Application No.: 09/726,953

Filed: November 29, 2000

For: LASIK LAMINAR FLOW

SYSTEM

Examiner: Fadi H. Dahbour

Art Group: 3743

REPLY BRIEF UNDER 37 C.F.R. § 1.193(b)(1)

Mail Stop Appeal Brief - Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Appellant submits this Reply Brief in triplicate pursuant to 37 C.F.R. § 1.193(b)(1) for consideration by the Board of Patent Appeals and Interferences. Although Applicant believes that no fee is required, the Office is authorized to charge any necessary filing fee for a small entity to Deposit Account No. 09-0946.

I. INTRODUCTION

Although the Examiner's Answer (the "Answer") raises some new issues, none of them support a rejection of the claims on appeal. For instance, the Answer confirms that U.S. Patent No. 6,019,754 ("Kawesch") does not explicitly teach directing a flow of air above the cornea at a distance such that the cornea is not de-hydrated by the flow of air. Moreover, the Answer and the prior Office Actions failed to show how such a teaching is inherent in Kawesch or that one of ordinary skill in the art would be motivated to make such a change. The only motivation to make a change to Kawesch has come from Applicant's disclosure, which is improper. Accordingly, the rejections of claims 1-14 should be reversed.

II. THE ARGUMENTS RAISED IN THE EXAMINER'S ANSWER DO NOT SUPPORT AFFIRMANCE OF THE REJECTIONS

As discussed in Applicant's opening brief, to show that a claim is invalid because of anticipation or obviousness, a reference or a combination of references must meet every limitation of the claim. See MPEP 2131; MPEP 2143.03. Despite the Answer's comments to the contrary, a review of Kawesch shows that Kawesch discloses the exact opposite of the claimed invention.

A. The Anticipation Rejection Of Claims 12-14 Is Unsupported

In Applicant's Appeal Brief, it was suggested that the claims on appeal stand or fall together. In light of the Examiner's answer, however, it has become apparent that independent claim 12 is allowable along with its dependent claims. More specifically, the argument was raised that Kawesch anticipates because "it 'can' direct or is capable of directing a flow of air above the cornea of the patient from one side of the cornea to another side of the cornea, at a distance so that the cornea is not de-hydrated by the flow of air." As

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¹ Examiner Answer, Page 5.

the Examiner noted, this argument only applies to claims 1 and 8, and not to claim 12.

Because "a claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference," the rejection of claim 12 cannot stand.

The Examiner's Answer also raises two other issues that do not affect this result. First, the Answer raises an issue with the disclosure in the application. This issue is irrelevant because all of the independent claims at issue contain the following language: "at a distance so that the cornea is not de-hydrated by the flow of air." And, as described on page 7, lines 11-13, the application directly supports this claim language: "it is desirable to create an airflow that does not directly impinge the cornea to prevent corneal de-hydration."

The second issue raised by the Answer -- that Kawesch only teaches drying of the gutter area -- also does not mandate a different result. Figures 1 through 5 of Kawesch illustrate an eye 10, which includes a cornea 12.³ As part of the LASIK procedure, the cornea 12 is sliced from the side to produce a corneal flap 16.⁴ A gutter area 22, which Figure 5 illustrates as being a part of the cornea 12, "defines the edge of the cornea/flap interface." The Answer leaves no doubt that the gutter area 22 is dried per Kawesch. Logic dictates that if the gutter area 22 were being dried, the rest of the cornea 12 would also be dried due to its proximate location. In line with this reasoning, Kawesch confirms that the corneal flap is dried: "After the corneal flap is repositioned, a flap drying apparatus is used to dry the repositioned corneal flap" ... "The Kawesch flap dryer has been used on a number of patients, all of whom seem to see better upon sitting up following the procedure than those whose corneal flaps have not been dried following the procedure."

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² MPEP 2131.

³ Kawesch at Col. 2:23-25.

⁴ Kawesch at Col. 2:28-30; Figs. 3-5.

⁵ Kawesch at Col. 5:44-45; Fig. 5.

⁶ Kawesch at Abstract; Col. 5:56-59 (bold added).

In sum, none of the comments made in the Answer change the fact that Kawesch does not disclose, teach, or suggest the claimed method in claims 12-14.

B. The Obviousness Rejection Cannot Stand

The Examiner's Answer also failed to support a *prima facie* case of obviousness for independent claims 1 and 8. To establish a *prima facie* case, the claim element at issue must be taught or suggested by Kawesch. As discussed above and in Applicant's Appeal Brief, Kawesch does not teach "an air flow module that can direct a flow of air above the cornea of the patient from one side of the cornea to another side of the cornea, at a distance so that the cornea is not de-hydrated by the flow of air" as recited in claims 1 and 8. Such a disclosure is not explicit in Kawesch because, although the Answer suggests that the module in Kawesch "can" be used in such a manner, there isn't any express description that the Kawesch flap dryer could be or is in fact used in such a manner. Moreover, such a disclosure is not inherent in Kawesch because the Kawesch flap dryer is not necessarily used so that the cornea is not de-hydrated by the flow of air as recited in the claims at issue.

The Examiner has also failed to show how one of ordinary skill in the art would have been motivated to create the claimed invention based on Kawesch. MPEP 2143.01 explicitly states that "the mere fact that references can be combined or modified does not render the resultant combination obvious unless the prior art also suggests the desirability of the combination." The Examiner has made no showing that Kawesch (or any other reference) suggests the desirability of the claimed combination. Use of Applicant's disclosure is mere hindsight analysis that must be rejected.

Even more, MPEP 2143.01 further states that "if [the] proposed modification would render the prior art invention being modified unsatisfactory for its intended purpose, then there is no suggestion or motivation to make the proposed modification." Modifying the

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⁷ MPEP 2143.03 ("To establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art.").

Kawesch flap dryer such that it would not dry the cornea would render Kawesch unsatisfactory for its intended purpose - convective drying of the corneal flap and gutter area. Likewise, such a modification would change the principle of operation of Kawesch, which is not allowed for a prima facie case of obviousness. MPEP 2143.01 ("If the proposed modification or combination of the prior art would change the principle of operation of the prior art invention being modified, then the teachings of the references are not sufficient to render the claims prima facie obvious."). Indeed, because Kawesch's very purpose is drying of the cornea, it teaches away from the very anti-thesis that is claimed – "so that the cornea is not de-hydrated by the flow of air."

The other arguments raised by the Answer regarding Applicant's disclosure and the gutter area are also without merit for the reasons stated previously. Consequently, the rejection of claims 1-11 should be reversed.

III. CONCLUSION

Kawesch contains no disclosure whatsoever of directing a flow of air in a manner that does not de-hydrate the cornea. In addition, a motivation for doing so has not been provided for a simple reason – this would be contrary to the teachings of Kawesch and would render the Kawesch flap dryer unsuitable for its intended purpose. Consequently, the rejection of independent claims 1, 8 and 12, and their associated dependent claims, should be reversed.

> Respectfully submitted, **IRELL & MANELLA LLP**

Dated: Sept. 28, 2005

Brian E. Jones, Reg. No. 51,855

840 Newport Center Drive, Suite 400 Newport Beach, CA 92660 949-760-0991

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